



NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : INSPECTION

Download Ref.No.: NSE/INSP/2016/32759	Date : July 08, 2016
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To All Members

Sub : Client Registration Documents in Vernacular Languages

This has reference to the SEBI circular **CIR/MIRSD/16/2011 dated August 22, 2011** on client registration documents. In order to facilitate better understanding of the registration documents by the investors, Exchange is pleased to provide the following documents in 15 regional vernacular languages:

- 1. Document stating the Rights & Obligations of stock broker, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet / wireless technology based trading)
- 2. Uniform Risk Disclosure Documents (for all segments / exchanges)
- 3. Guidance Note detailing Do's and Don'ts for trading on exchanges

The above mentioned documents in the vernacular languages are available on NSE website at <u>https://www.nseindia.com/membership/content/compline_trading_mem.htm</u> and can be downloaded. Trading Members are advised to make available the documents to their clients on demand and also display the same on their own website.

It may be noted that the documents are a translated version of the original documents in English. In case of any ambiguity the contents of the English version would prevail.

Members are advised to take note of the above and ensure compliance with the same.

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In case of any clarifications, Members may contact our below offices:

For and on behalf of National Stock Exchange of India Limited

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