

Annexure-B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for every month ending

S N	Received from	Carried forward from previous month	Receive d during the month	Total Pending	Resolve d*	Pending at the endof the month**		Average Resolution on time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investo rs	-	-	-	-	-	-	-
2	SEBI (SCO RES)	-	-	-	-	-	-	-
3	Stock Excha nges	-	-	-	-	-	-	-
4	Other Sources(if any)	-	-	-	-	-	-	-
5	Grand Total	-	-	-	-	-	-	-

Trend of monthly disposal of complaints

SN	Month	Carried forward from previousMonth	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April-2017	-	-	-	-
2	May-2017	-	-	-	-
3	June-2017	-	-	-	-
4	July-2017	-	-	-	-
5	August-2017	-	-	-	-
6	September -2017	-	-	-	-
7	October-2017	-	-	-	-

8	November-2017	-	-	-	-
9	December-2017	-	-	-	-
10	January-2018	-	-	-	-
11	February-2018	-	-	-	-
12	March-2018	-	-	-	-
13	April-2018	-	-	-	-
14	May-2018	-	-	-	-
15	June-2018	-	-	-	-
16	July-2018	-	-	-	-
17	August-2018	-	-	-	-
18	November-2018	-	-	-	-
19	December-2018	-	-	-	-
20	January-2019	-	-	-	-
21	February-2019	-	-	-	-
22	March-2019	-	-	-	-
23	April-2019	-	-	-	-
24	May-2019	-	-	-	-
25	June-2019	-	-	-	-
26	July-2019	-	-	-	-
27	August-2019	-	-	-	-
28	September-2019	-	-	-	-
29	October-2019	-	-	-	-
30	November-2019	-	-	-	-
31	December-2019	-	-	-	-
32	January -2020	-	-	-	-
33	February-2020	-	-	-	-
34	March-2020	-	-	-	-
35	April-2020	-	-	-	-
36	May-2020	-	-	-	-
37	June-2020	-	-	-	-
38	July-2020	-	-	-	-
39	August-2020	-	-	-	-
40	September-2020	-	-	-	-
41	October-2020	-	-	-	-
42	November-2020	-	-	-	-
43	December-2020	-	-	-	-
44	January -2021	-	-	-	-
45	February-2021	-	-	-	-
46	March-2021	-	-	-	-
47	April-2021	-	-	-	-
48	May-2021	-	-	-	-
49	June-2021	-	-	-	-
50	July-2021	-	-	-	-
51	August-2021	-	-	-	-
52	September-2021	-	-	-	-
53	October - 2021	-	-	-	-

54	November - 2021	-	-	-	-
55	December - 2021	-	-	-	-
56	January - 2022	-	-	-	-
57	February - 2022	-	-	-	-
58	March - 2022	-	-	-	-
59	April - 2022	-	-	-	-
60	May - 2022	-	-	-	-
61	June - 2022	-	-	-	-
62	July - 2022	-	-	-	-
63	Aug - 2022	-	-	-	-
64	Sep - 2022	-	-	-	-
65	Oct - 2022	-	-	-	-
66	Nov - 2022	-	-	-	-
67	Dec - 2022	-	-	-	-
68	Jan - 2023	-	-	-	-
68	Feb - 2023	-	-	-	-
69	Mar - 2023	-	-	-	-
70	April - 2023	-	-	-	-
71	May-2023	-	-	-	-
72	June-2023	-	-	-	-
73	July-2023	-	-	-	-
74	Aug-2023	-	-	-	-
75	Sep-2023	-	-	-	-
76	Oct-2023	-	-	-	-
77	Nov-2023	-	-	-	-
78	Dec-2023	-	-	-	-
79	Jan-2024	-	-	-	-
80	Feb-2024	-	-	-	-
81	Mar-2024	-	-	-	-
82	Apr-2024	-	-	-	-
83	May-2024	-	-	-	-
84	Jun-2024	-	-	-	-
85	July-2024	-	-	-	-
86	Aug.-2024	-	-	-	-
87	Sep-2024	-	-	-	-
88	Oct-2024	-	-	-	-
89	Nov-2024	-	-	-	-
90	Dec-2024	-	-	-	-
91	Jan-2025	-	-	-	-
92	Feb-2025	-	-	-	-
93	Mar-2025	-	-	-	-
94	Apr-2025	-	-	-	-
95	May-2025	-	-	-	-
96	June-2025	-	-	-	-

97	July-2025	-	-	-	-
98	Aug-2025	-	-	-	-
99	Sep-2025	-	-	-	-
100	Oct-2025	-	-	-	-
101	Nov-2025	-	-	-	-
102	Dec-2025	-	-	-	-
103	Jan-2026	-	-	-	-
104	Feb-2026	-	-	-	--
105	Mar-2026	-	-	-	-
106	Apr-2026	-	-	-	-
107	May-2026	-	-	-	-
108	June-2026	-	-	-	-
107	July-2026	-	-	-	-
	Grand Total	-	-	-	-

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
6	2022-23	0	0	0	0
7	2023-24	0	0	0	0
8	2024-25	0	0	0	0
9	2025-26	0	0	0	0
	Grand Total	0	0	0	0